



GUNNISON, MCKAY & HODGSON, L.L.P.

GARDEN WEST OFFICE PLAZA, SUITE 220

1900 GARDEN ROAD

MONTEREY, CALIFORNIA 93940

(831) 655-0880

FACSIMILE (831) 655-0888

November 15, 2006

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL LETTER**

RE: Applicant(s): Peter Szor

Assignee: Symantec Corporation

Title: HOST-BASED DETECTION AND PREVENTION OF  
MALICIOUS CODE PROPAGATION

Serial No.: 10/633,907 Filed: August 4, 2003

Examiner: Fritz Alphonse Group Art 2133  
Unit:

Docket No.: SYMC1035

Dear Sir:

Transmitted herewith are the following documents for the amendment and response to the Office Action dated October 19, 2006 in the above application:

1. Return Receipt Postcard;
2. Check in the amount of \$200.00;
3. Transmittal Letter (2 pages); and
4. Amendment and Response to Office Action (9 pages).

☒ Additional claims fees are required. A check in the amount of \$200.00 is enclosed.

Transmittal Letter  
Serial No. 10/633,907

November 15, 2006

CLAIMS AS AMENDED

	Claims Remaining after Amendment	Highest No. Previously Paid For	Present Extra	Rate	Additional Fee
Total Claims	18	- 20	= 0	x \$20.00	\$ 0.00
Independent Claims	6	- 5	= 1	x \$200.00	\$ 200.00
First filing of Multiple Dependent Claim (Enter \$270)					0.00
Total of above Calculation					\$200.00
Reduction by 50% for filing by Small Entity (Enter 0.5 for small entity)					1.0
Subtotal					\$ 200.00
Submission of Information Disclosure Statement Fee (enter \$180)					0.00
<b>TOTAL</b>					<b>\$ 200.00</b>

☒ Conditional Petition for Extension of Time: If an extension of time is required for timely filing of the enclosed documents after all papers filed with this transmittal have been considered, Applicant(s) hereby petition for such an extension of time.

☒ The Commissioner is hereby authorized to charge any additional fees required for consideration of the enclosed documents, and to credit any overpayment of fees to Deposit Account No. 50-0553.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on November 15, 2006.

  
Attorney for Applicant(s)

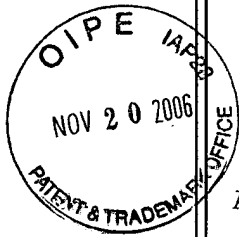
November 15, 2006  
Date of Signature

Respectfully submitted,



Lisa A. Norris  
Attorney for Applicant(s)  
Reg. No. 44,976

Appl. No. 10/633,907  
Amendment and Response dated November 15, 2006  
Reply to Office Action of October 19, 2006



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Peter Szor

Assignee: Symantec Corporation

Title: HOST-BASED DETECTION AND PREVENTION OF MALICIOUS  
CODE PROPAGATION

Serial No.: 10/633,907 Filed: August 4, 2003

Examiner: Fritz Alphonse Group Art Unit: 2133

Docket No.: SYMC1035

Monterey, CA  
November 15, 2006

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

AMENDMENT AND RESPONSE TO OFFICE ACTION

Dear Sir:

In response to the Office Action dated October 19, 2006,  
please amend the above-identified application as follows:

1. **Amendments to the Claims** are reflected in the  
listing of Claims which begins on page 2 of this paper;  
and
2. **Remarks** begin on page 8 of this paper.

11/20/2006 HDESTA1 00000033 10633907

01 FC:1201 200.00 OP

GUNNISON, McKAY &  
HODGSON, L.L.P.  
Garden West Office Plaza  
1900 Garden Road, Suite 220  
Monterey, CA 93940  
(831) 655-0880  
Fax (831) 655-0888